



FORUM EUROPÉEN, CŒUR, EXERCICE & PRÉVENTION

Apport du test d'effort dans la dyspnée : synthèse et discussion générale

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Apport du test d'effort dans la dyspnée : synthèse et discussion générale

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Service des Explorations Fonctionnelles de la Respiration, de l'Exercice et de la Dyspnée (EFRED)

Hôpitaux Universitaires Pitié-Salpêtrière, Tenon et Saint-Antoine

Département Médico-Universitaire "APPROCHES"

Département "R3S" (Respiration, Réanimation, Réhabilitation, Sommeil)

Groupe Hospitalier AP-HP.Sorbonne Université

Charles-Foix • Pitié-Salpêtrière • Rothschild • Saint-Antoine • Tenon • Trousseau/La Roche-Guyon

UMRS 1158 « Neurophysiologie Respiratoire Expérimentale et Clinique »

INSERM - Sorbonne Université

Paris, France



APPROCHES



Conflits d'intérêts

Liens d'intérêt : GSK, Chiesi

Liens d'intérêt en relation avec la présentation : aucun



13.45 Exploration fonctionnelle d'effort dans la dyspnée

Avec le partenariat de la Société de Pneumologie de Langue Française

Modérateurs : A. Cohen Solal, P. Laveneziana, Paris

- Interpréter une Exploration Fonctionnelle Respiratoire (EFR) J. Frija, Paris
- Apport du test d'effort dans la dyspnée : le point de vue du pneumologue
S. Matecki, Montpellier
- Apport du test d'effort dans la dyspnée : le point de vue du cardiologue
J.-Y. Tabet, Paris
- ➔ ■ Apport du test d'effort dans la dyspnée : synthèse et discussion générale
P. Laveneziana, A. Cohen Solal, J. Frija, S. Matecki, J.-Y. Tabet

Commonly (but avoidable) mistakes

- Known nothing about the patient and his/her clinical history
- Ignore symptoms and reasons for stopping exercise
- Don't pay attention to CPET comments from who is running the CPET
- Focus ONLY on numbers
- Rely (almost exclusively) on predefined algorithms to interpret CPET

The key variables and their meaning

```
graph TD; A[The key variables and their meaning] --> B[Quantitative approach]; A --> C[Qualitative approach];
```

Quantitative approach

(“give me a number
please”
% predicted....

Qualitative approach

(response profile and
or kinetics)

Step 1

Assessment of patient' effort : is the test maximal?

- Patient achieves predicted VO_2 or evidence of a plateau in VO_2 ?
- $\text{RER} \geq 1.05$?
- $\text{HR} > 90\%$ predicted max?
- Patient exhaustion/Borg score $> 9/10$?
- [Lactate] max $> 8 \text{ mmol}\cdot\text{l}^{-1}$ and/or (fall in $\text{pH} < 0.04$) during the immediate recovery phase
- W_{peak} exceeds W_{peak} predicted
- Evidence of a ventilatory limitation: breathing reserve $< 15\text{-}20\%$ and/or significant EFL and/or decrease in IC?

Step 1

Assessment of patient' effort : is the test maximal?

- Patient achieves predicted $\dot{V}O_2$ or evidence of a plateau in $\dot{V}O_2$?
- $RER \geq 1.05$?
- $HR > 90\%$ predicted max?
- [Lactate] max $> 8 \text{ mmol}\cdot\text{l}^{-1}$ and/or (fall in $\text{pH} < 0.04$) during the immediate recovery phase?
- Patient exhaustion/Borg score $> 9/10$?
- Evidence of a ventilatory limitation: $\dot{V}'E_{\text{peak}} \geq 85\% \text{ MVV}$ and/or significant EFL and/or decrease in IC?

Step 2

Evaluation of $\dot{V}'O_2$ peak or $\dot{V}'O_2$ max if applicable

Step 3a

Graphic and tabular representations of CPET variables

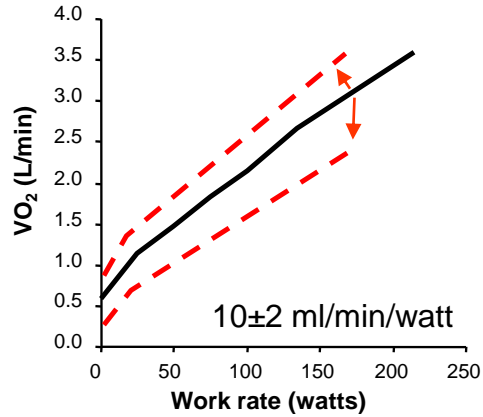
METABOLIC

WR= work rate

$\dot{V}O_2$ = oxygen uptake

$\dot{V}CO_2$ = carbon dioxide output

$R = \dot{V}O_2 / \dot{V}CO_2$



Obesity

↓ Type I fibers

↑ Type II fibers

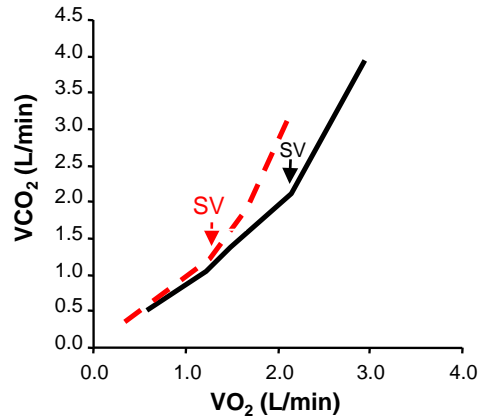
↓ débit cardiaque

↓ IMC

Myopathies mitochondriales

↓ Hb, COHb

Hémoglobinopathies



Déconditionnement

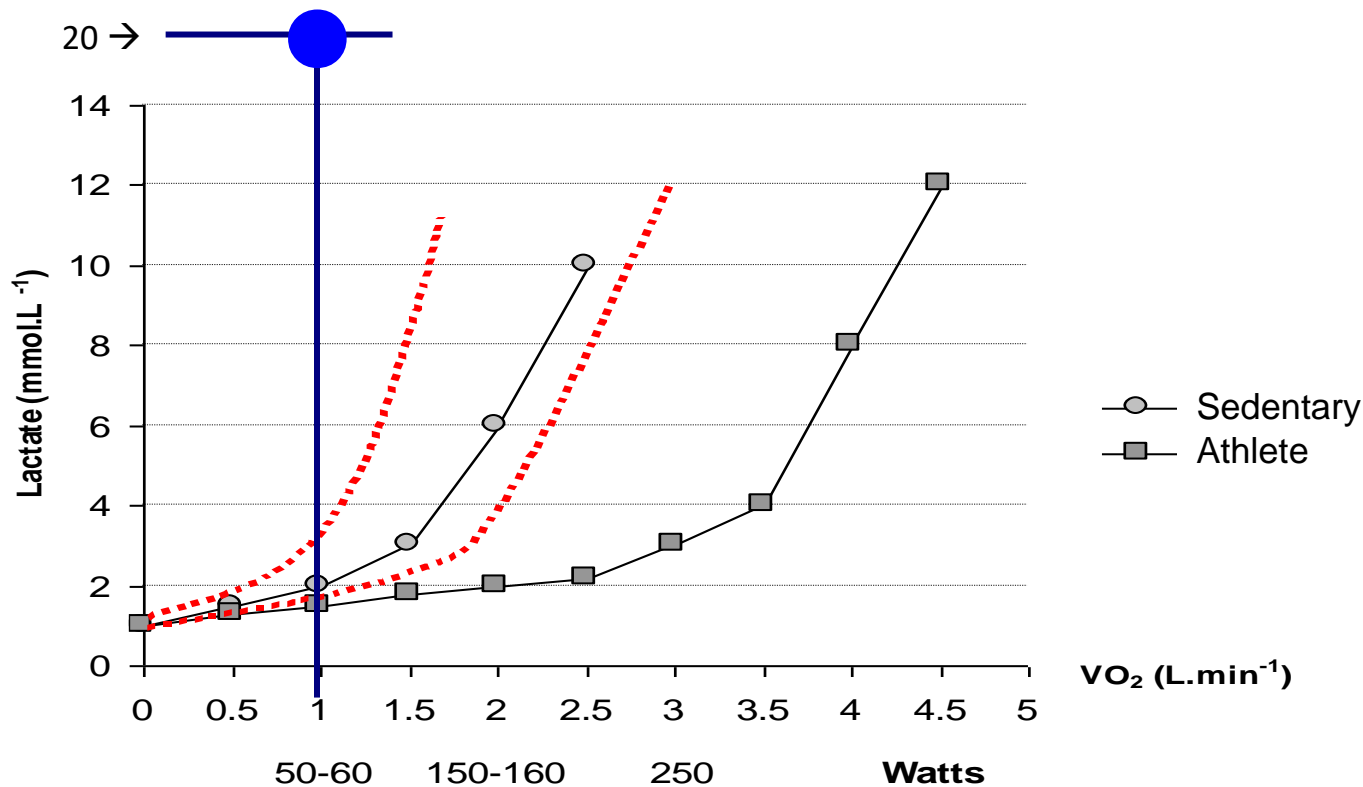
↓ débit cardiaque

Myopathies mitochondriales

↓ Hb, COHb

Hémoglobinopathies

Muscle adaptation to exercise



Peripheral muscle limitation to exercise (myopathies and/or deconditioning) can be difficult to detect and some variables may help define it:

- a reduced $\dot{V}O_{2\text{peak}}$
- a reduced slope or late plateau of the $\dot{V}O_2$ trajectory (i.e. a reduced $\dot{V}O_2$ /Work-Rate relationship ≤ 8)
- a premature AT $< 40\%$ pred
- and anomalies in blood lactate at peak or during the immediate recovery phase
 - for example, serum lactate may fail to rise with an undetectable AT in metabolic myopathies such as McArdle disease
 - while relatively higher serum lactate levels matched for the work rates or the $\dot{V}O_2$ could indicate the presence of deconditioning
- ratings of leg discomfort on a Borg scale greater than dyspnoea score at the end of exercise may also be present in a patient limited by locomotor muscle anomalies

Step 1

- Assessment of patient' effort : is the test maximal?
- Patient achieves predicted $\dot{V}O_2$ or evidence of a plateau in $\dot{V}O_2$?
 - $RER \geq 1.05$?
 - $HR > 90\%$ predicted max?
 - [Lactate] max > 8 mmol·l⁻¹ and/or (fall in pH < 0.04) during the immediate recovery phase?
 - Patient exhaustion/Borg score $> 9/10$?
 - Evidence of a ventilatory limitation: $\dot{V}'E_{peak} \geq 85\%$ MVV and/or significant EFL and/or decrease in IC?

Step 2

Evaluation of $\dot{V}'O_2$ peak or $\dot{V}'O_2$ max if applicable

Step 3a

Graphic and tabular representations of CPET variables

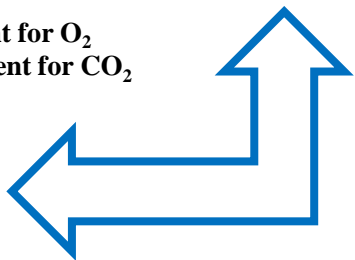
METABOLIC

WR= work rate
 $\dot{V}O_2$ = oxygen uptake
 $\dot{V}CO_2$ = carbon dioxide output
 $R = \dot{V}O_2 / \dot{V}CO_2$

$\dot{V}E/\dot{V}O_2$ =ventilatory equivalent for O_2
 $\dot{V}E/\dot{V}CO_2$ =ventilatory equivalent for CO_2
 $PETO_2$ = end-tidal O_2
 $PETCO_2$ = end-tidal CO_2

RESPIRATORY

VENTILATORY GAS EXCHANGE



$\dot{V}E$ = ventilation
 V_T = tidal volume
 f = frequency
 IC = inspiratory capacity
 Tidal flow-volume loop
 SpO_2 = O_2 saturation

$\dot{V}_E/\dot{V}O_2$ =ventilatory equivalent for O_2

$P_{ET}O_2$ = end-tidal O_2

$\dot{V}_E/\dot{V}CO_2$ =ventilatory equivalent for CO_2

$P_{ET}CO_2$ = end-tidal CO_2

RESPIRATORY

VENTILATORY GAS EXCHANGE

\dot{V}_E = ventilation

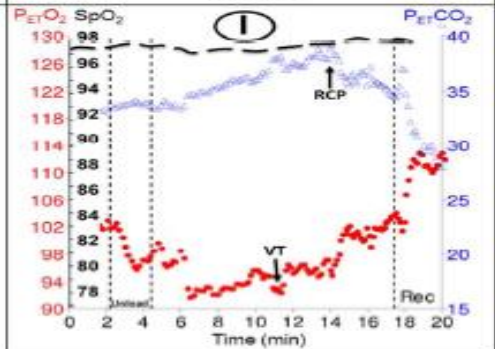
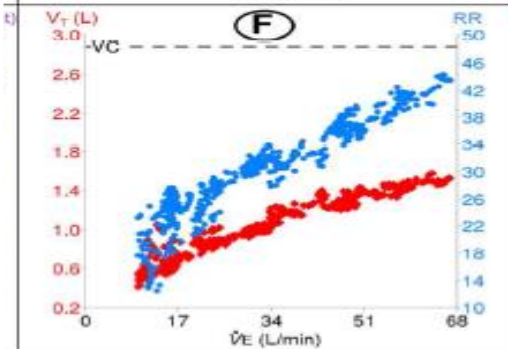
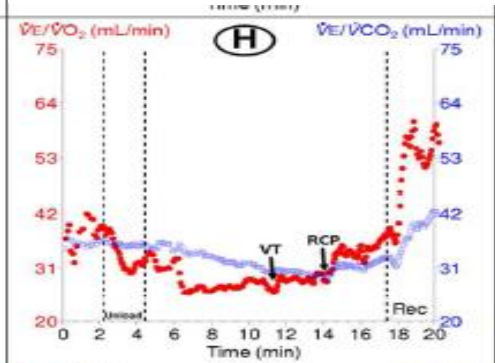
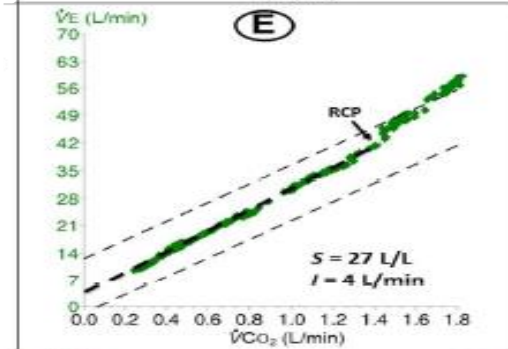
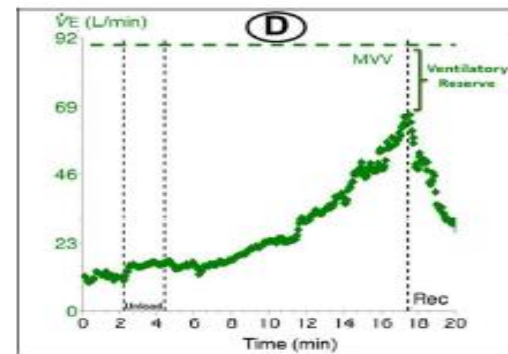
V_T = tidal volume

f = frequency

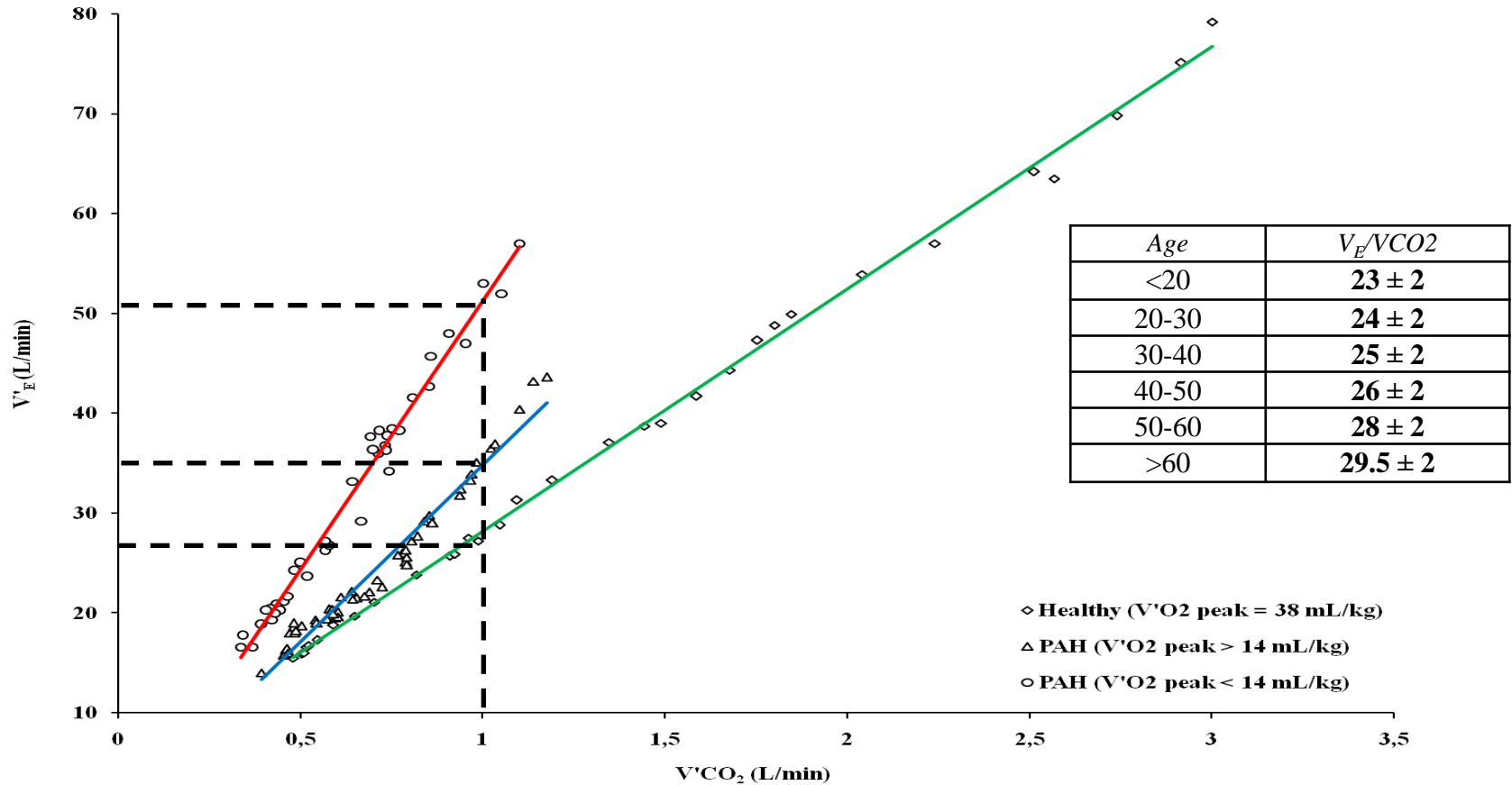
IC= inspiratory capacity

Tidal flow-volume loop

SpO_2 = O_2 saturation



Ventilatory Efficiency



$V'_E/V'O_2$ at its nadir (AT) and $V'_E/V'CO_2$ at its nadir (RC)

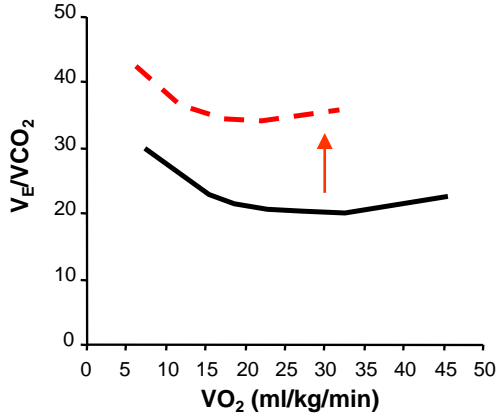
$V'_E/V'O_2$	$V'_E/V'CO_2$
22-27	26-30

$V'_E/V'O_2$ and $V'_E/V'CO_2$ at AT (for sedentary, middle-aged men)

$V'_E/V'O_2$	$V'_E/V'CO_2$
26.5±4.4	29.1±4.3

Mean values for women and men over 60 should be slightly higher (1-2); for men under 30, slightly lower (1-2).

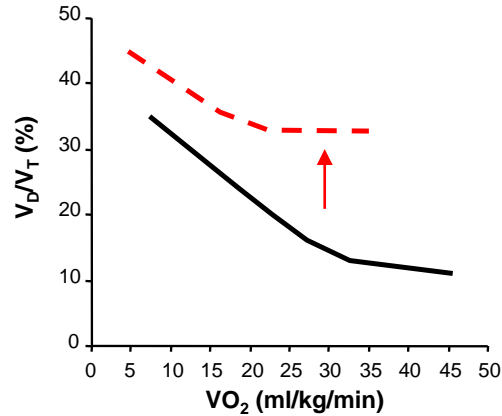
NB: normal values of $V'_E/V'O_2$ and $V'_E/V'CO_2$ at AT with a PETCO₂ of approximately 40 mmHg suggest a normal V_D/V_T and uniform matching of alveolar ventilation (V_A) to pulmonary perfusion (Q) (V_A/Q).



Hyperventilation

$\uparrow V_D/V_T$

$$V_E/V_{CO_2} = \frac{863}{PaCO_2 \times (1 - V_D/V_T)}$$

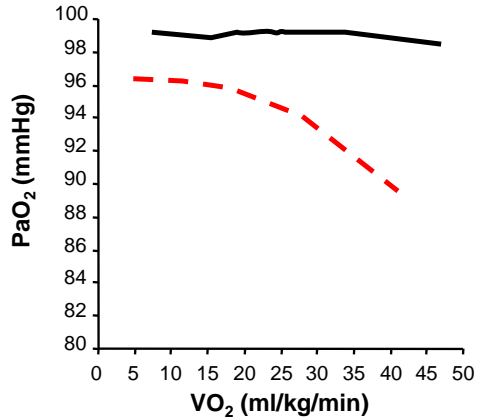


HTAP

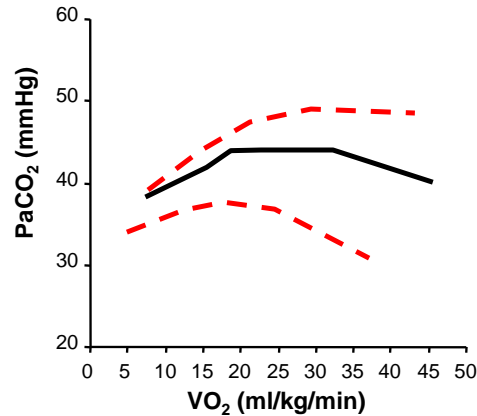
BPCO

Pneumopathie interstitielle

Insuffisance cardiaque chronique



- ↓ ventilation alvéolaire
- anomalies rapport ventilation/perfusion
- shunt droit/gauche
- troubles de la diffusion
- acidose métabolique
- shift à droite de la curve de dissociation de l'O₂



Hypoventilation alvéolaire

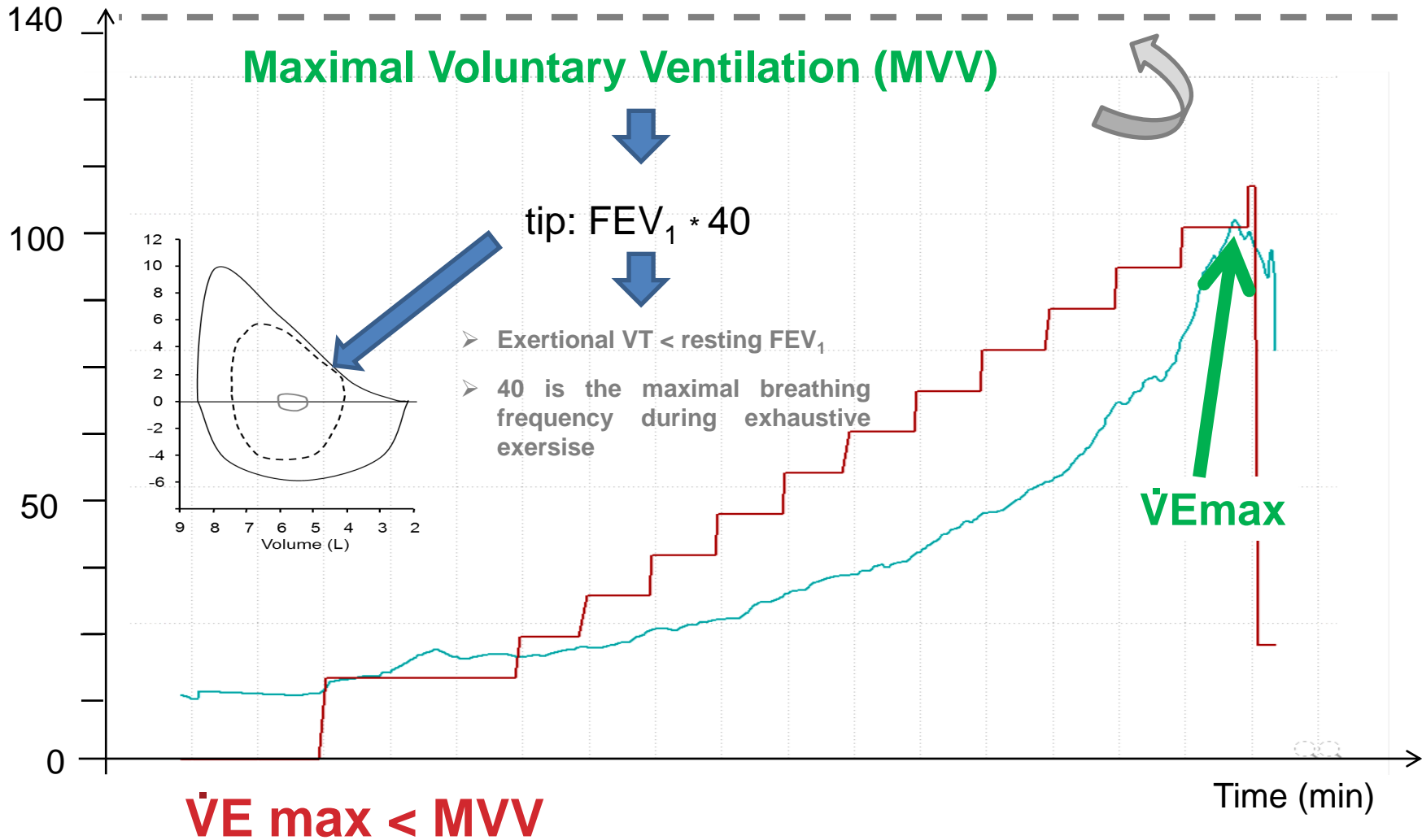
Hyperventilation alvéolaire

Pulmonary vascular limitation to exercise is not easy to define and may rely on evidence of:

- increased $V'E/V'CO_2$ slope and ratio at AT
- other typical features of pulmonary vascular disease are:
 - low levels of PETCO₂ at AT
 - a VD/VT which remains stable or increases or fails to decrease from baseline
 - a P(a-ET)CO₂ which fails to become negative during exercise and, sometimes
 - P(A-a)O₂ which widens on exertion
- Associated low levels of haemoglobin will enhance oxygen flow deficiency
- Electrocardiographic or blood pressure anomalies during CPET

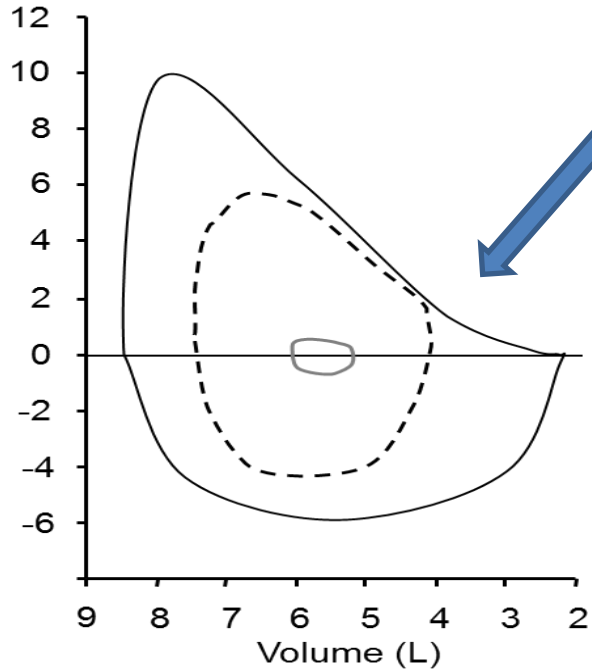
Pulmonary gas exchange limitation to exercise is not straightforward either, and may rely on evidence of:

- inefficient CO₂ exchange
 - which can be signalled by high VD/VT
 - and often by high exercise $V'E/V'CO_2$
- or (alone or in combination with) inadequate O₂ exchange
 - signalled by low PaO₂
 - or, less directly, by desaturation at pulse oximetry
 - and a reduced $V'O_{2peak}$



Tiffeneau R, Pinelli A. Air circulant et air captif dans l'exploration de la fonction ventilatrice pulmonaire.

Paris Méd 1947; 37: 624–628.



CPUE (Capacité pulmonaire utilisable à l'effort), 1947



VEMS (volume expiratoire maximum seconde), Paris 1954



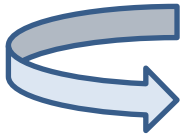
FEV₁ (forced expiratory volume in one second), 1957 British Thoracic Society



Exertional $V_T < \text{resting FEV}_1$

✓ Ventilatory or Breathing Reserve:

$$\frac{MVV - \dot{V}E_{\text{peak}}}{MVV} \times 100 = 15-20\%$$

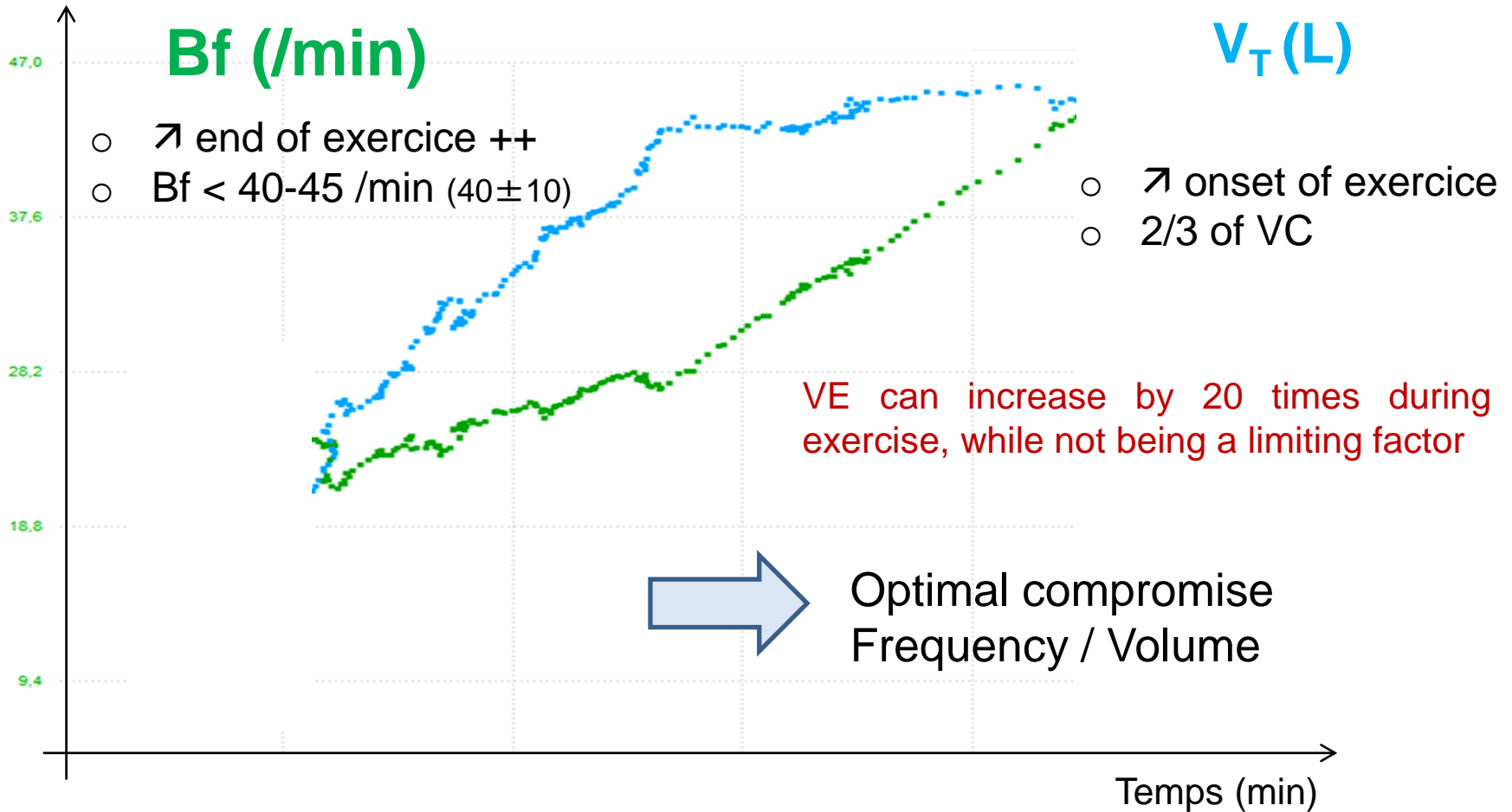


Ventilatory ceiling not reached

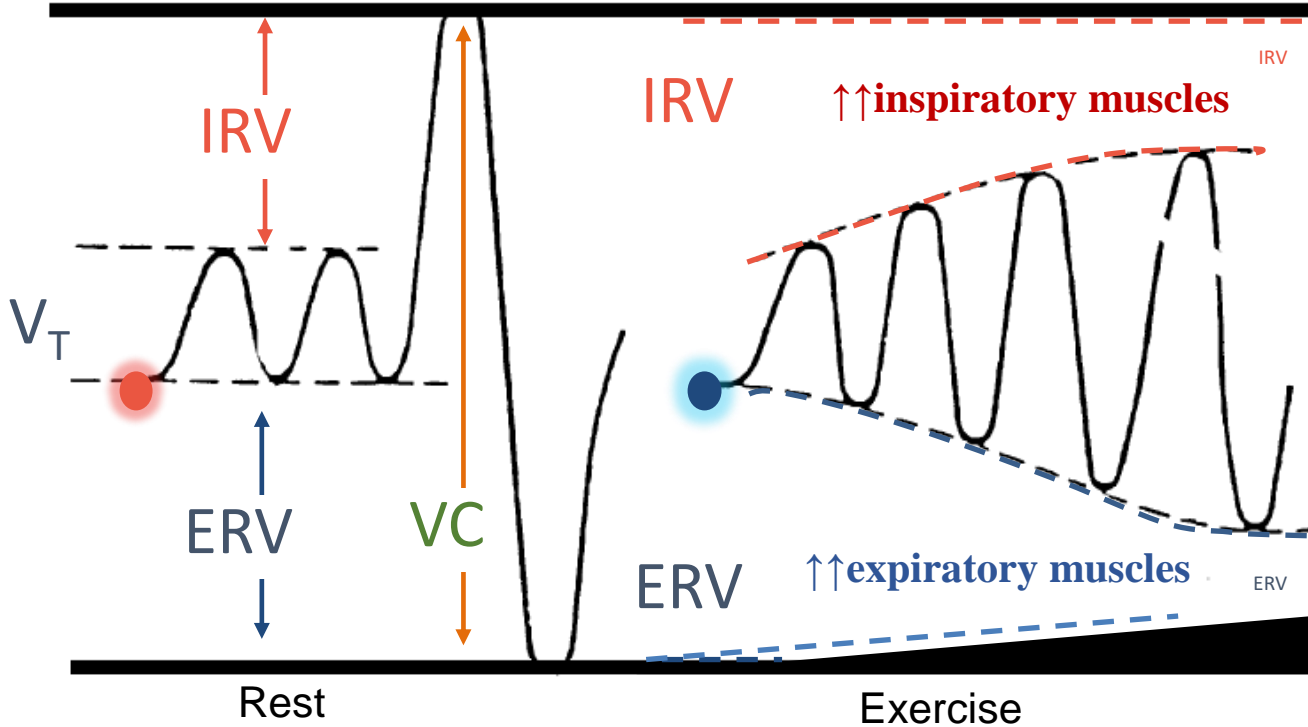
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Ventilation not a limiting factor

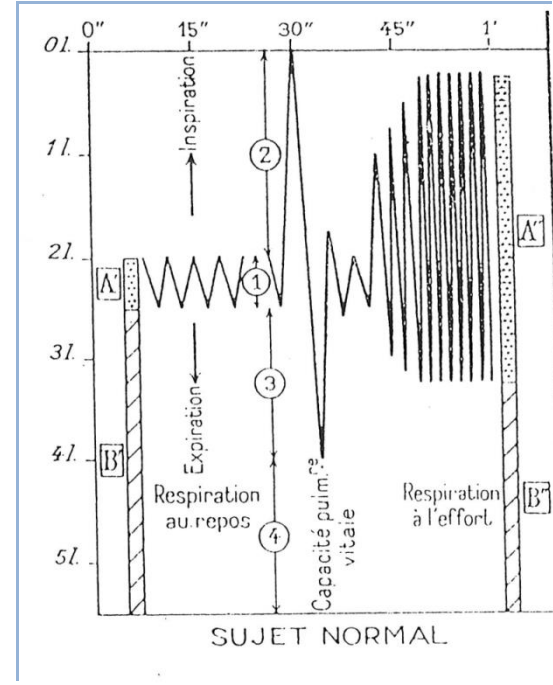
$$\dot{V}E_{\text{peak}} \leq 85\% MVV$$



V_T at peak exercise = 60-70% ($55 \pm 10\%$) Vital Capacity



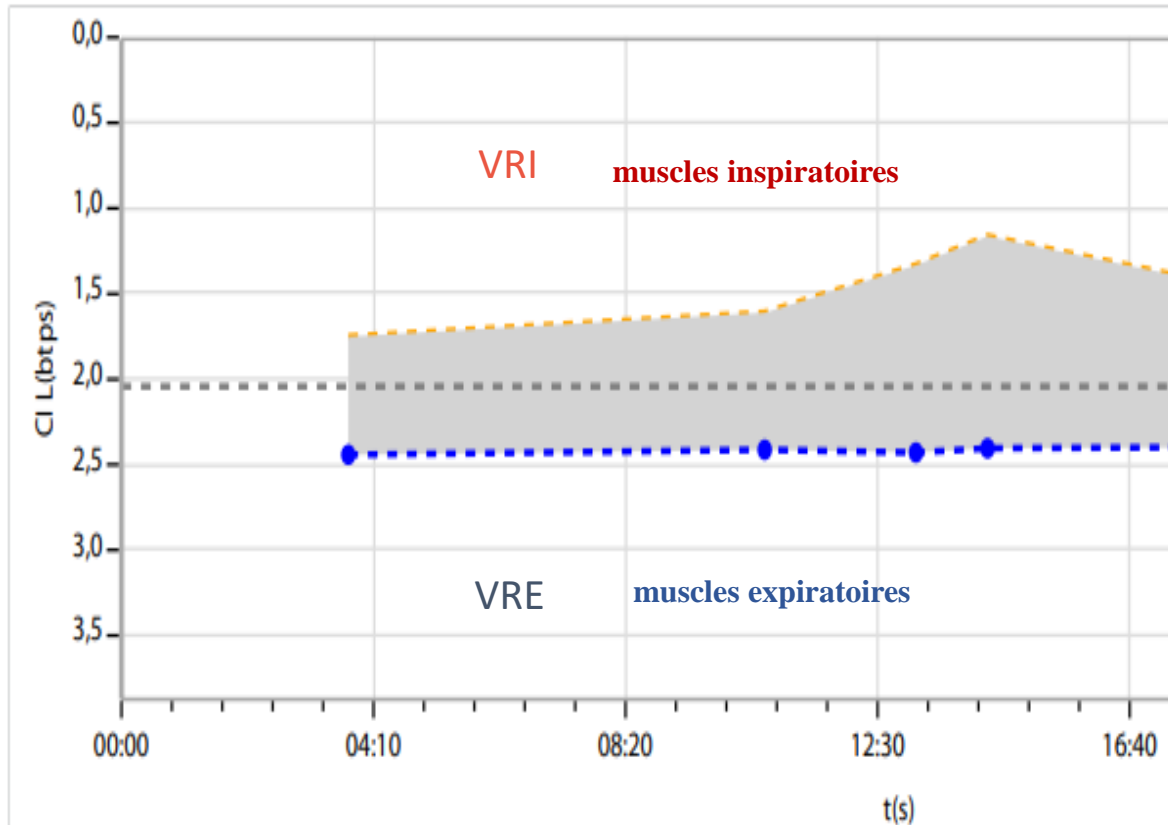
27 Décembre 1947



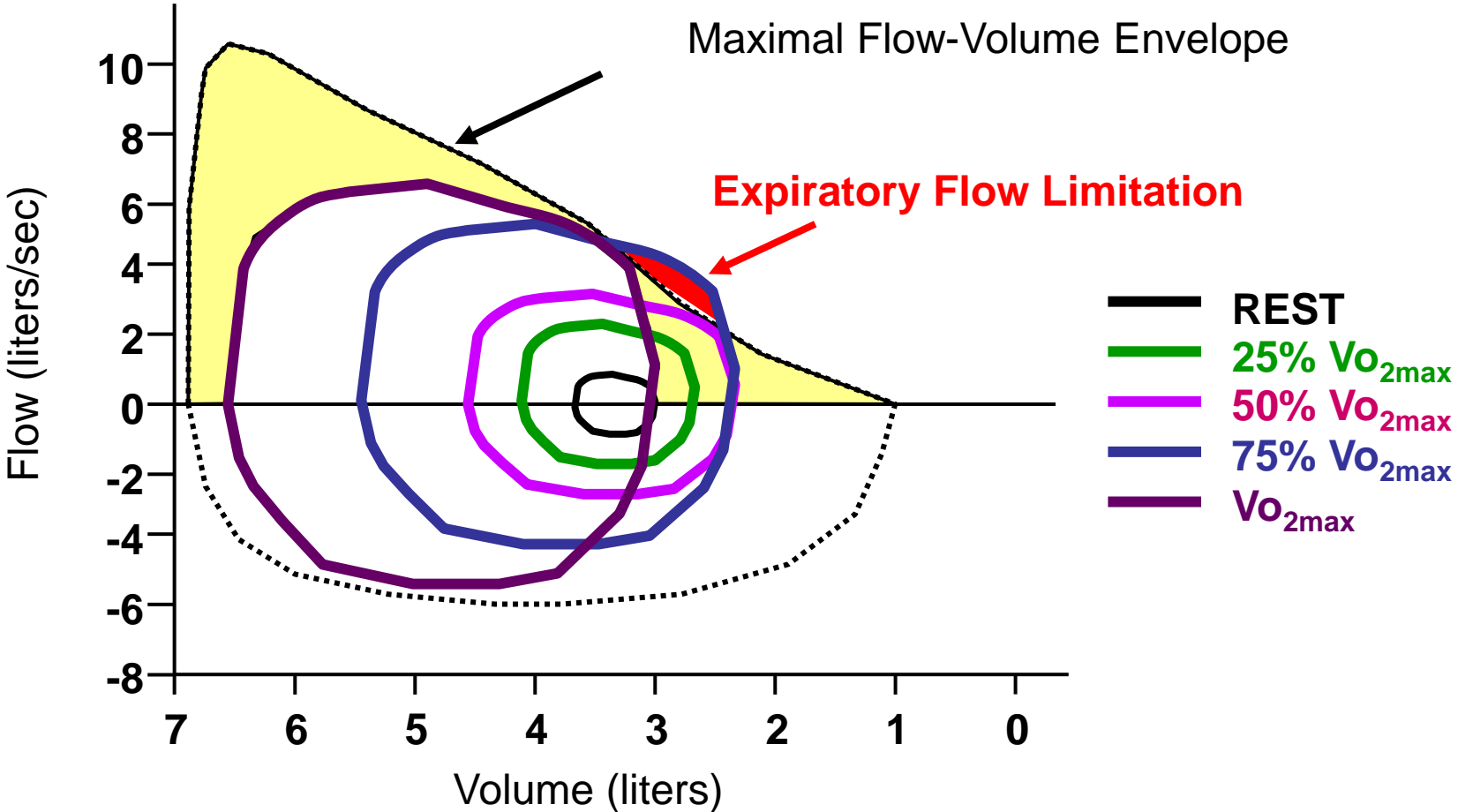
AIR CIRCULANT ET AIR CAPTIF
 DANS L'EXPLORATION
 DE LA FONCTION VENTILATRICE
 PULMONAIRE

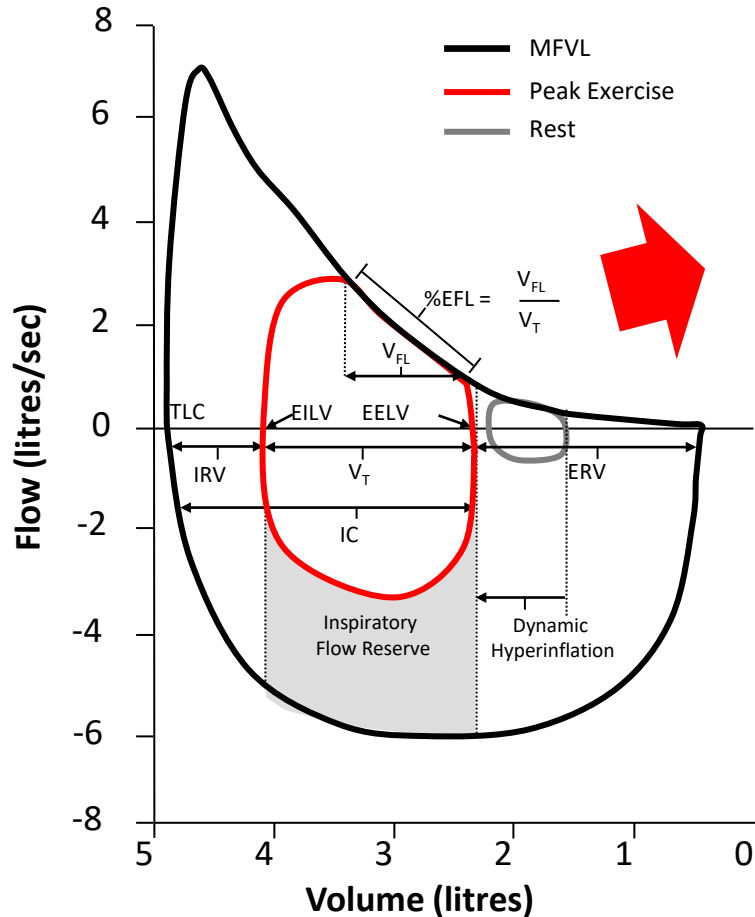
PAR
 Robert TIFFENEAU et PINELLI

Maladie NeuroMusculaire



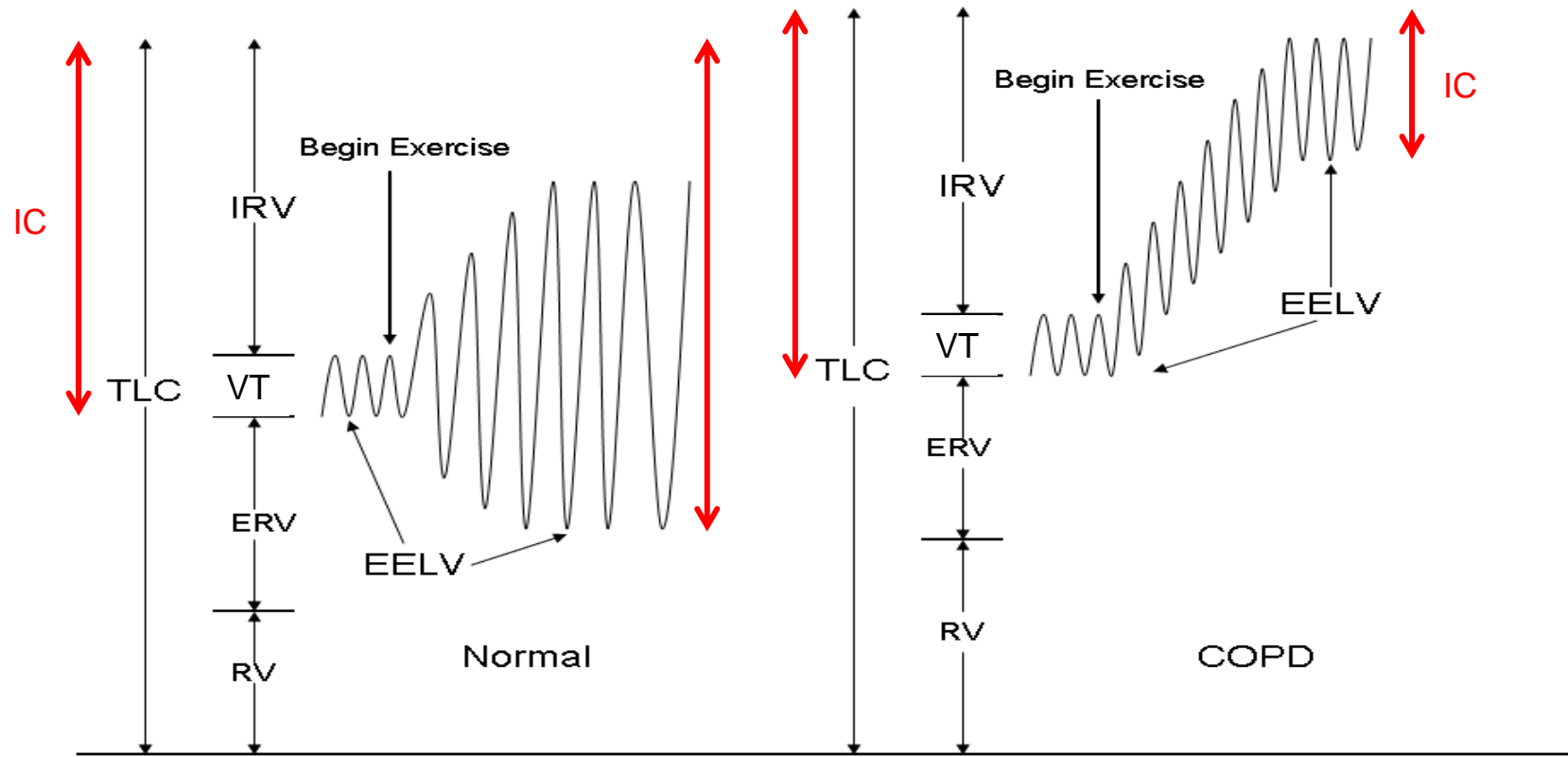
Respiratory mechanics: maximal and tidal flow-volume loops



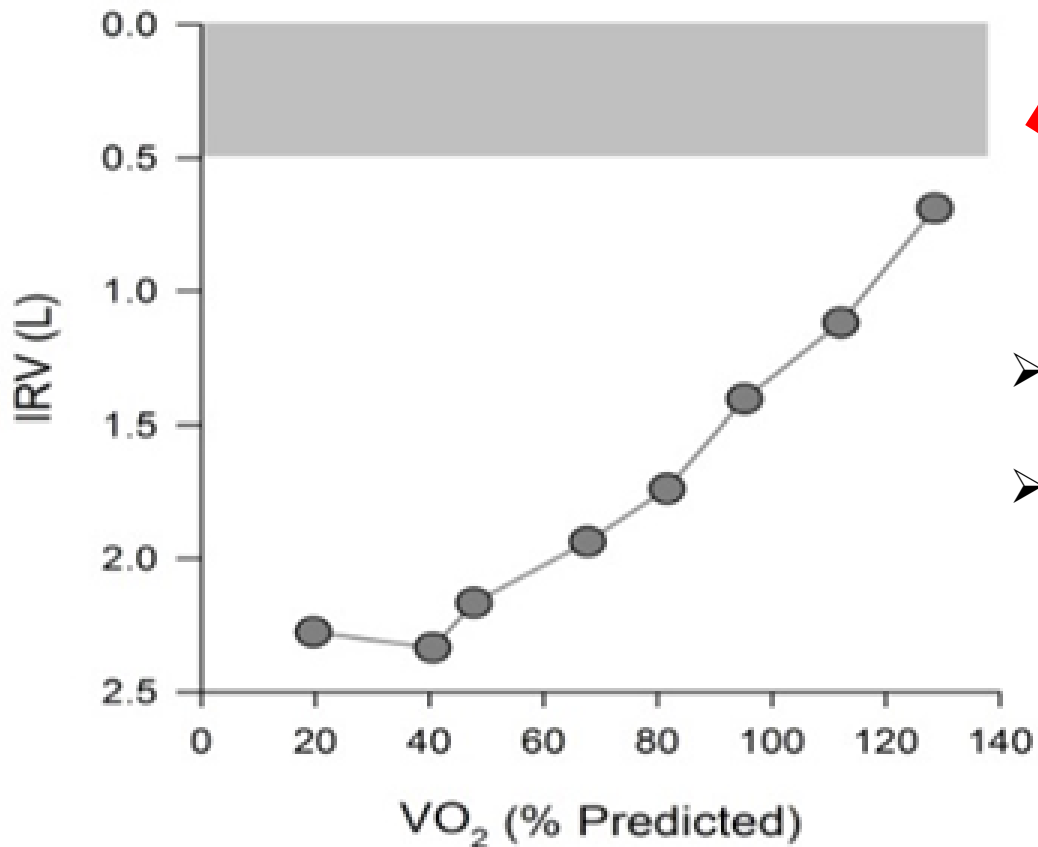


Acceptable EFL < 25% of V_T

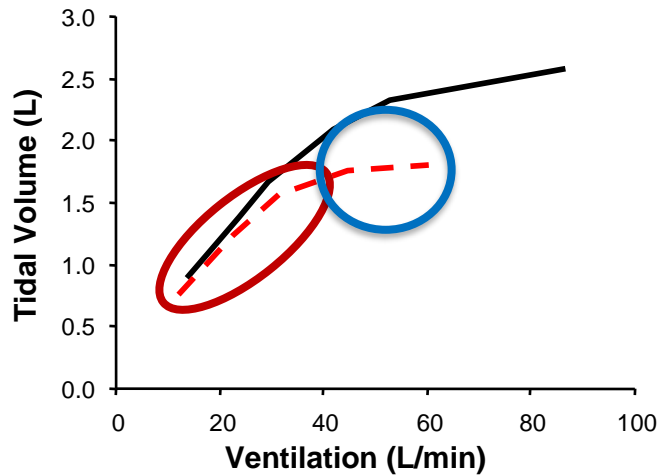
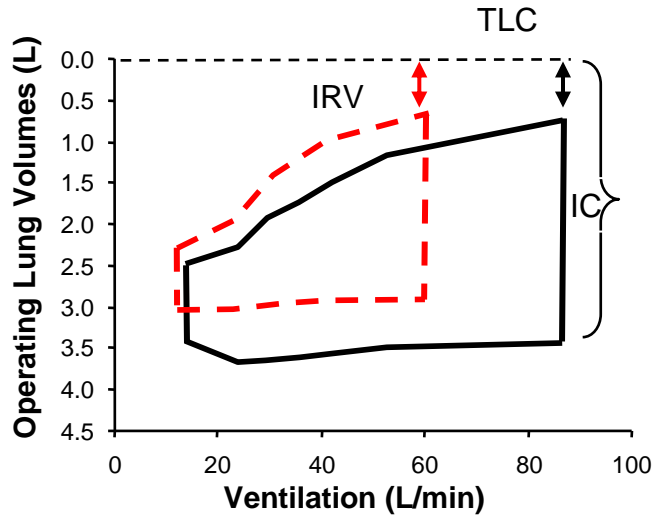
Development of significant EFL >40-50% of V_T



Volume reserve



- **Acceptable IRV > 0.4-0.6**
- **EILV (EELV + V_T) < 90% of TLC – (reasonable elastic work)**



Mechanical restriction:
Muscle weakness
ILD
CW restriction
COPD
Dynamic hyperinflation
Asthma (Laveneziana P et al, RPNB 2012)
CHF (Laveneziana P et al . JAP 2009)
PAH (Laveneziana et al. ERJ 2013 and 2015)

- EFL >25% overlap at peak (normally <25% in health)
- Early V_T plateau
- IRV < 0.4-0.6L and/or EILV >90 % TLC – low volume reserve or high elastic work
- $V_T = IC$ or > 60-70% of VC (normally $55 \pm 10\%$ of VC) at peak
- $V_T/IC > 70\%$ (if restrictive pattern) – normally $V_T/IC = 70 \pm 10\%$ at peak
- Dynamic Hyperinflation (dynamic decrease in IC > 140mL)

Step 1

- Assessment of patient' effort : is the test maximal?
- Patient achieves predicted $\dot{V}O_2$ or evidence of a plateau in $\dot{V}O_2$?
- $RER \geq 1.05$?
- $HR > 90\%$ predicted max?
- [Lactate] max $> 8 \text{ mmol}\cdot\text{l}^{-1}$ and/or (fall in pH < 0.04) during the immediate recovery phase?
- Patient exhaustion/Borg score $> 9/10$?
- Evidence of a ventilatory limitation: $\dot{V}'E_{peak} \geq 85\%$ MVV and/or significant EFL and/or decrease in IC?

Step 2

Evaluation of $\dot{V}'O_2$ peak or $\dot{V}'O_2$ max if applicable

Step 3a

Graphic and tabular representations of CPET variables

METABOLIC

WR= work rate
 $\dot{V}O_2$ = oxygen uptake
 $\dot{V}CO_2$ = carbon dioxide output
 $R = \dot{V}O_2 / \dot{V}CO_2$

$\dot{V}E/\dot{V}O_2$ =ventilatory equivalent for O_2
 PET_{O_2} = end-tidal O_2
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RESPIRATORY

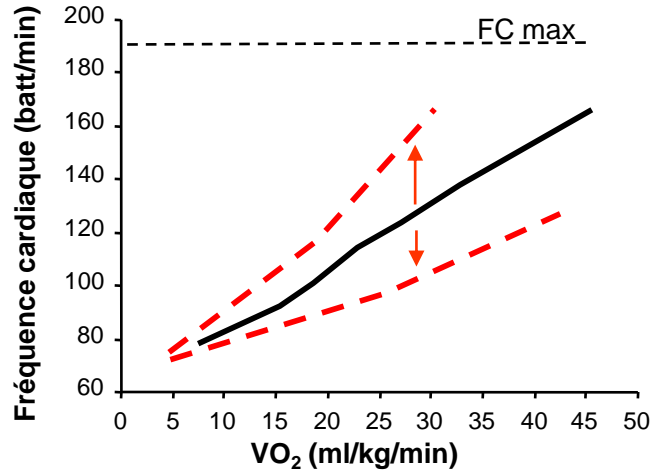
VENTILATORY GAS EXCHANGE

CARDIO-VASCULAR

ECG
 BP
 HR= heart rate
 $O_2 \text{ Pulse} = \dot{V}O_2 / HR$

$\dot{V}E$ = ventilation
 $\dot{V}T$ = tidal volume
 f = frequency
 IC= inspiratory capacity
 Tidal flow-volume loop
 SpO_2 = O_2 saturation

Limitation Cardiovasculaire



déconditionnement

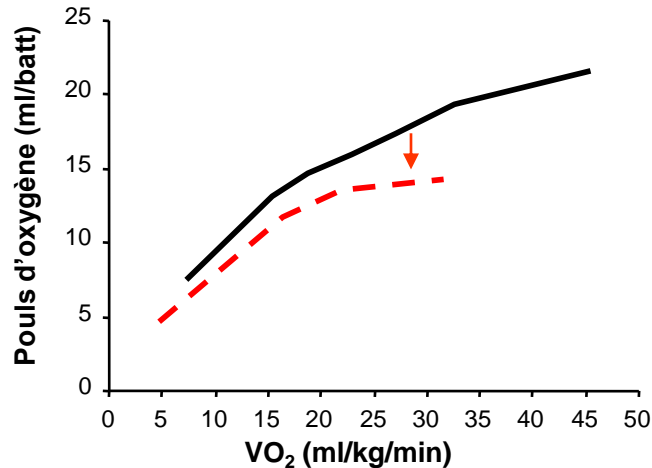
↓ volume ejection

↑ débit cardiaque

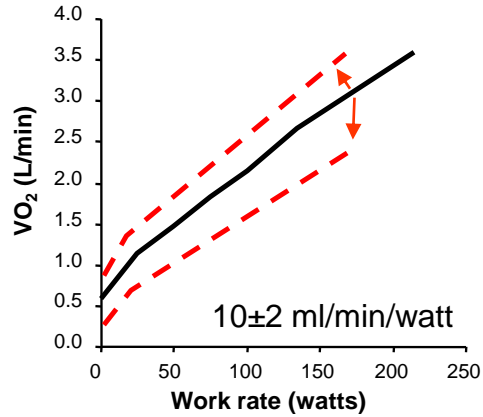
↓ Hb

médicaments

dysautonomie



↓ débit cardiaque



Obesity

↓ Type I fibers

↑ Type II fibers

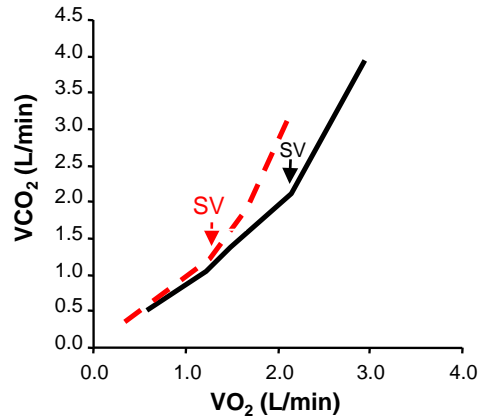
↓ débit cardiaque

↓ IMC

Myopathies mitochondriales

↓ Hb, COHb

Hémoglobinopathies



Déconditionnement

↓ débit cardiaque

Myopathies mitochondriales

↓ Hb, COHb

Hémoglobinopathies

Cardiovascular limitation to exercise is complex and may be defined by certain interrelated variables, such as:

- a reduced $\dot{V}'O_2$ peak
- a reduced slope of the $\dot{V}'O_2$ trajectory (i.e. a reduced $\dot{V}'O_2$ /work-rate relationship ≤ 8)
- or a late plateau of the $\dot{V}'O_2$ trajectory
- a premature anaerobic threshold (AT <40% pred)
- or plateau (early or late during exercise) of the oxygen pulse ($\dot{V}'O_2$ /HR ratio)
- or an abnormal HR/ $\dot{V}'O_2$ slope (>50)

Step 1

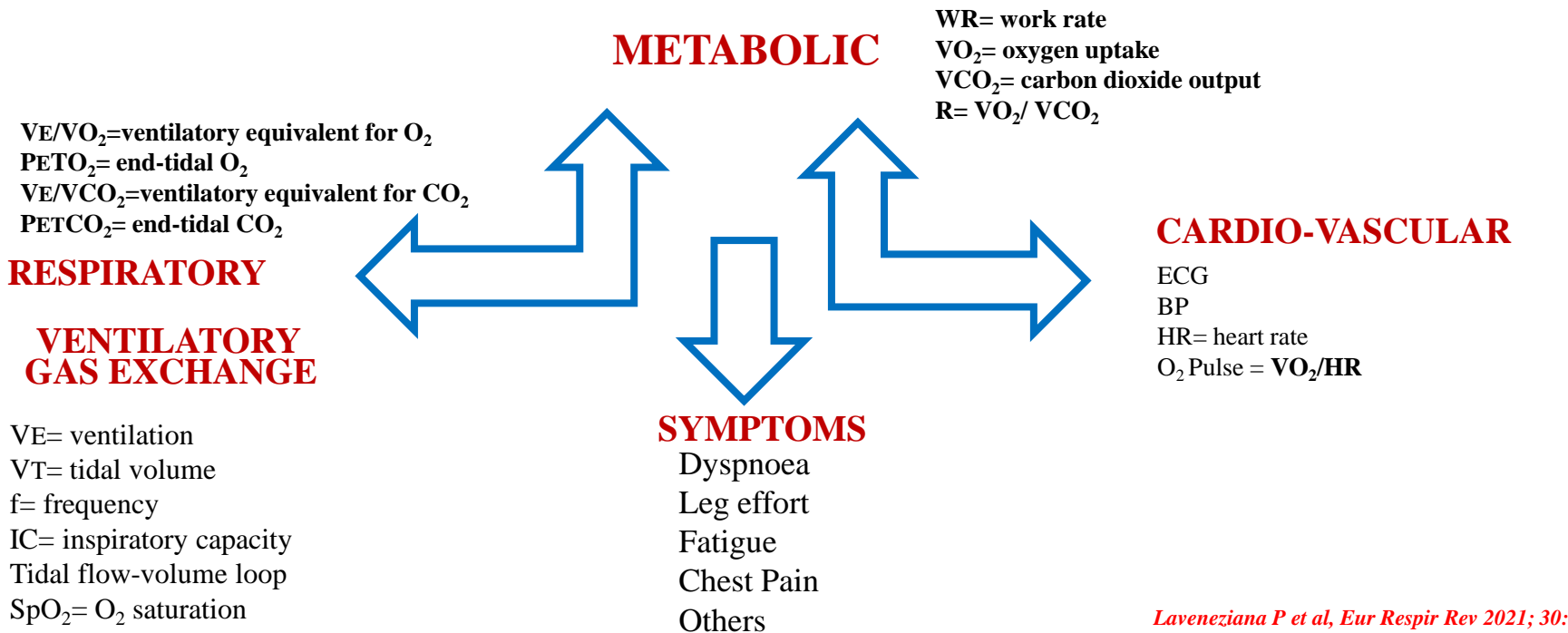
- Assessment of patient' effort : is the test maximal?
- Patient achieves predicted $\dot{V}O_2$ or evidence of a plateau in $\dot{V}O_2$?
 - $RER \geq 1.05$?
 - $HR > 90\%$ predicted max?
 - [Lactate] max > 8 mmol·l⁻¹ and/or (fall in pH < 0.04) during the immediate recovery phase?
 - Patient exhaustion/Borg score $> 9/10$?
 - Evidence of a ventilatory limitation: $\dot{V}'E_{peak} \geq 85\%$ MVV and/or significant EFL and/or decrease in IC?

Step 2

Evaluation of $\dot{V}'O_2$ peak or $\dot{V}'O_2$ max if applicable

Step 3a

Graphic and tabular representations of CPET variables



Step 1

Assessment of patient's effort: is the test maximal? (at least one of)
 RER ≥ 1.05 ?
 PeakHR >100% predicted (in adults)?
 Patient achieves predicted \dot{V}_{O_2} or evidence of a plateau in \dot{V}_{O_2} ?
 Blood lactate ≥ 8 mmol·L⁻¹ (in adults)?
 Evidence of a ventilatory limitation: breathing reserve <15–20% and/or significant EFL and/or decrease in IC?

Step 2

Evaluation of $\dot{V}_{O_{2peak}}$ or $\dot{V}_{O_{2max}}$ if applicable

Step 3

Dyspnoea evaluation and exercise limitation(s)

Graphs \dot{V}_E/\dot{V}_R and \dot{V}_E/\dot{V}_T
 Graphs \dot{V}_E/\dot{V}_{CO_2}
 Graphs dyspnoea (Borg or VAS score)/ \dot{V}_{O_2}
 Graphs dyspnoea (Borg or VAS score)/ \dot{V}_E

Ventilatory and respiratory mechanical limitation

BR <15–20%
 Dynamic hyperinflation (decrease in IC >140 mL)
 \dot{V}_T plateau
 RR >50–55 breaths·min⁻¹ (if restrictive pattern)
 $\dot{V}_T = IC$ or >60% VC (if restrictive pattern)
 HR peak <HR predicted
 EILV >90% TLC at peak exercise
 \dot{V}_T/IC >70% at peak exercise
 Tidal inspiratory flow >50% to 70% maximal inspiratory flow (in health <50–70%)

with or without

Gas exchange anomalies:

\dot{V}_D/\dot{V}_T \uparrow
 P_{A-aO_2} \uparrow
 Decrease of $P_{aO_2} \geq 10$ mmHg
 Decrease of $S_{pO_2} \geq 5\%$ and/or $S_{pO_{2peak}} \leq 88\%$
 $P_{aCO_{2peak}} > 45\text{--}50$ mmHg

Cardiovascular and/or pulmonary vascular limitation

BR >15–20%
 $\dot{V}_{O_2}/HR < 70\%$
 $\downarrow \dot{V}_{O_2}/WR$
 Anaerobic threshold <40% predicted
 Flat (and declining) \dot{V}_{O_2}/HR trajectory
 Abnormal HR/ \dot{V}_{O_2} slope (>50)
 Chronotropic incompetence
 Abnormal blood pressure response to exercise
 ECG abnormalities during exercise

with or without

Gas exchange abnormalities:

\dot{V}_D/\dot{V}_T \uparrow
 P_{A-aO_2} \uparrow
 P_{a-ETCO_2} \uparrow
 Decrease of $P_{aO_2} \geq 10$ mmHg
 Decrease of $S_{pO_2} \geq 5\%$ and/or $S_{pO_{2peak}} \leq 88\%$

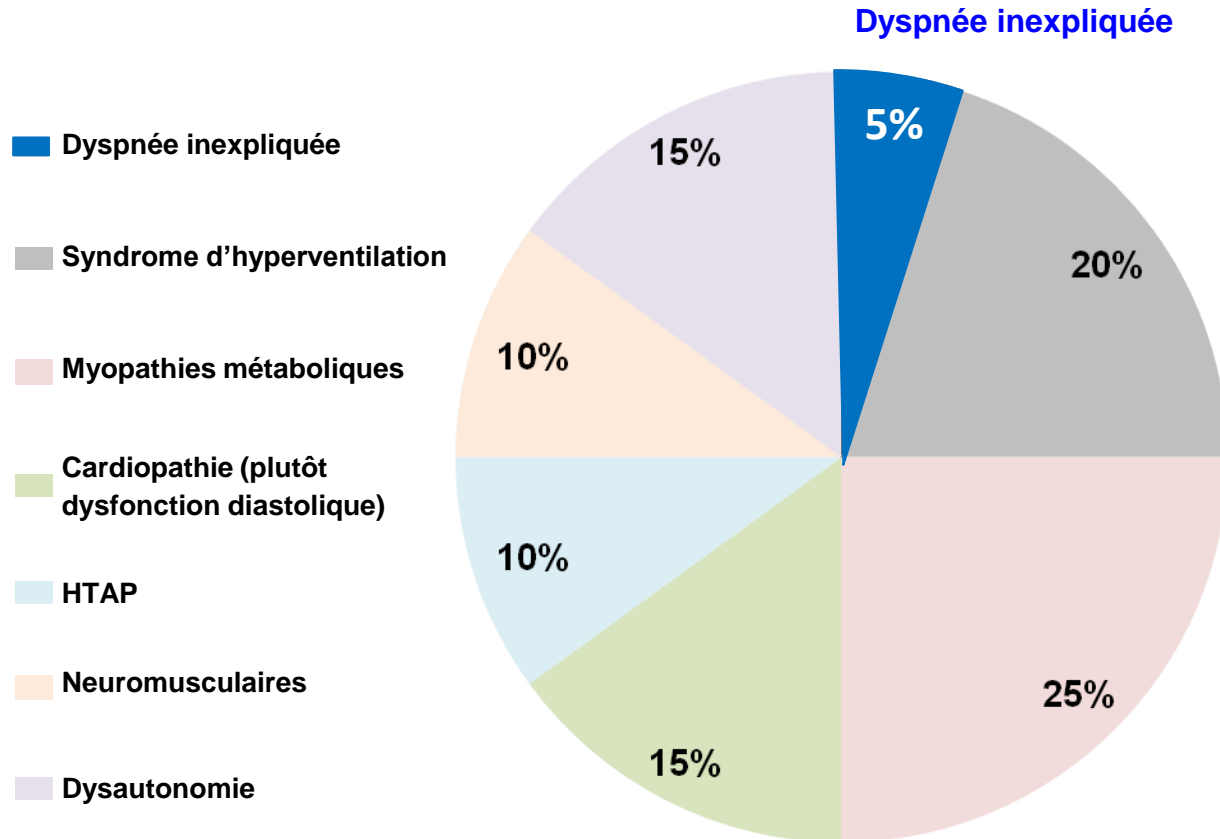
Other(s)

Anaerobic threshold <40% predicted (peripheral origins)
 Leg pain
 Back pain
 ST depression in the ECG
 Abnormal blood pressure response

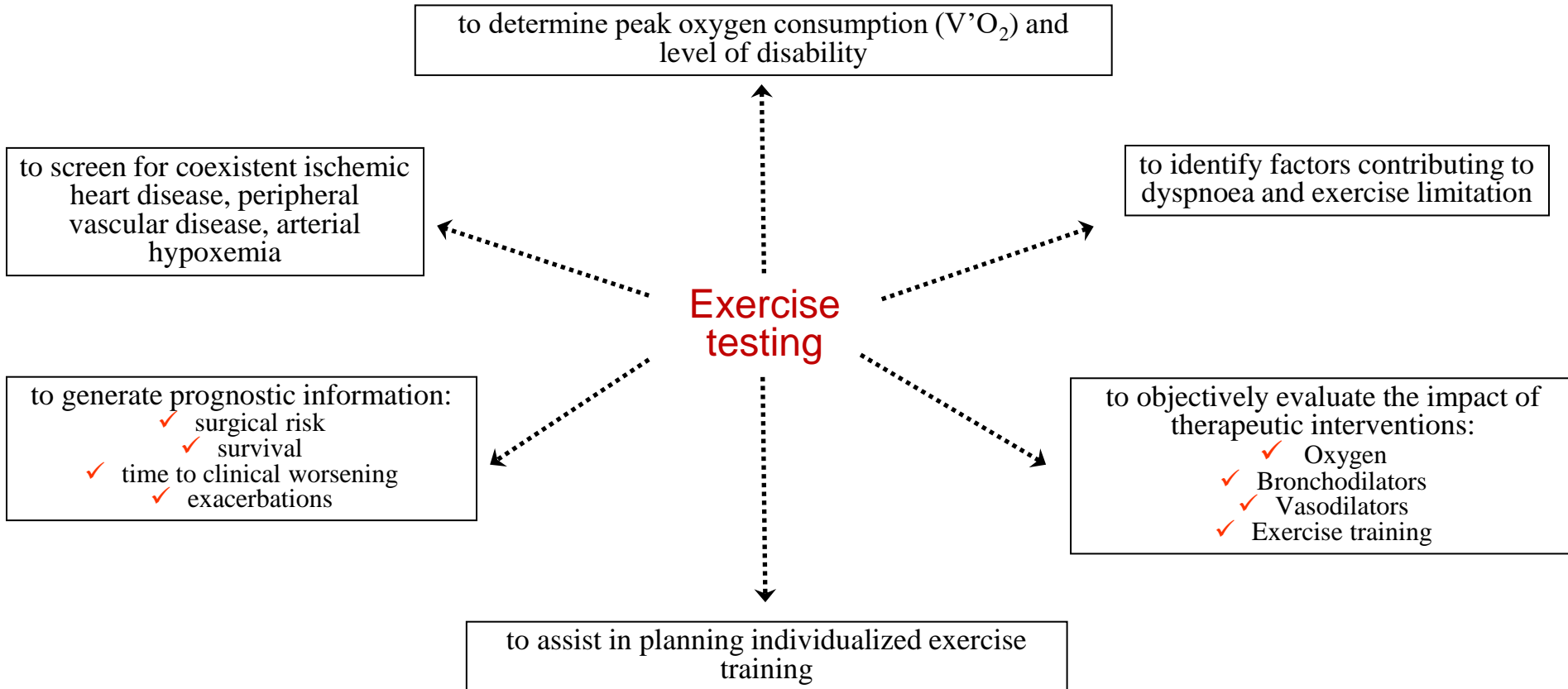
Step 4

Integration of CPET results with other clinical findings/investigations

Performance diagnostique des dyspnées inexplicées



Clinical Utility of CardioPulmonary Exercise Testing (CPET)



**MERCI POUR
VOTRE ATTENTION !**